



Customer:

Subject: Covestro Exports: Requirements for End Use / End User Screening  
Enhanced Proliferation Control Initiative (“EPCI”)

The purpose of this letter is to seek your assistance in complying with U.S. Laws that control the export and re-export of U.S.-originating goods. Your kind assistance will facilitate a continuous and unrestricted flow of products from Covestro to you.

The U.S. Department of Commerce’s Bureau of Industry and Security (“BIS”) imposes requirements on exporters to ensure that items being exported are not destined for an improper end use or undesirable end-user, as defined in BIS regulations. The enclosed checklist is designed to assist us in meeting our legal requirement to prevent the proliferation of weapons of mass destruction and must be completed by the end user and/ or Covestro Affiliate on behalf of the customer prior to shipment of the first order. It is our obligation under the Export Administration Regulations to “know our customers” and to ensure compliance with these regulations.

August 31, 2015

Covestro LLC  
International Trade & Compliance  
1 Covestro Circle  
Pittsburgh, PA 15205-9741

Attached is our EPCI form. Please complete, sign, and return the form in advance of shipment of your order. Signed forms can be emailed to [intltrade@covestro.com](mailto:intltrade@covestro.com), faxed to 412-413-4376, or returned by mail to Covestro LLC, International Trade & Compliance, 1 Covestro Circle, Pittsburgh, PA 15205-9741.

Provided, there is no change to the details you have summarized, the completed EPCI form will be kept on file and be valid for a period of three years.

We regret having to secure the details requested with the attached document, however, please understand that we are required to comply with our country’s laws.

If you have any questions, please contact me or the International Logistics Specialist.

We appreciate your cooperation.

Sincerely,

Rebecca Tomei  
Covestro LLC  
Phone: 412-413- 2323  
Fax: 412.413.5403

Attachment: EPCI form

Date \_\_\_\_\_

To: Covestro LLC  
Order Fulfillment Dept. - Export Compliance  
1 Covestro Circle, Pittsburgh, PA 15205 USA

Dear Covestro LLC,

We acknowledge that the product we are purchasing from you is subject to United States export regulations. We agree in advance to the following restrictions imposed by U.S. law.

- 1) We will not sell, otherwise transfer or re-export any Covestro product or any direct product thereof contrary to U.S. law.
- 2) We will not use Covestro product nor the direct product thereof in any of the following activities nor will we perform research using Covestro product for any of the following activities:

#### Nuclear Explosive Activities

- Developing, designing, manufacturing, constructing, testing or maintaining any nuclear explosive device, weapon or components or subsystems of such a device or weapon.

#### Unsafeguarded Nuclear Activities

- Developing, designing, manufacturing, constructing, operating or maintaining any nuclear reactor, critical facility for the fabrication of nuclear fuel, facility for the conversion of nuclear material from one chemical form to another, or separate storage installation, where there is no obligation to accept International Atomic Energy Agency (IAEA) safeguards at the relevant facility or installation when it contains any source or special fissionable material (regardless of whether or not it contains such material at the time of export), or where any such obligation is not met.

#### Safeguarded and Unsafeguarded Nuclear Activities

- Safeguarded and unsafeguarded nuclear fuel cycle activities, including research on or development, design, manufacture, construction, operation or maintenance of any of the following facilities, or components for such facilities:
    - a) Facilities for the chemical processing of irradiated special nuclear or source material;
    - b) Facilities for the production of heavy water;
    - c) Facilities for the separation of isotopes of source and special nuclear material; or
    - d) Facilities for the fabrication of nuclear reactor fuel containing plutonium.
- 3) We will not use Covestro product nor the direct product thereof for activities related to chemical or biological weapon research, design, development, production, testing, stockpiling, sale, installation, operation, maintenance or use.
  - 4) We will not use Covestro product nor the direct product thereof for activities or research related to missile research, design, development, production, testing, stockpiling, sale, installation, maintenance, operation or use (the term 'missiles' includes but is not limited to rockets and unmanned air vehicle systems) in or by one of the following countries: Bahrain, China, Egypt, India, Iran, Iraq, Israel, Jordan, North Korea, Kuwait, Lebanon, Libya, Macau, Oman, Pakistan, Qatar, Saudi Arabia, Syria, United Arab Emirates or Yemen.

- 5) We will not knowingly re-sell, re-export or transfer Covestro product nor the direct product thereof to entities involved in any of the above-mentioned activities.

For all remaining questions, please attach additional sheets if more space is required.

- 6) Our general type of business is:

---

---

- 7) Our specific end-use/application for Covestro merchandise is:

---

---

- 8) We are affiliated with our country's military or government.: (Check Yes or No)

Yes       No

If yes, our specific relationship with our country's military or government is:

---

---

If yes, please clarify how the product will be used in conjunction with any military applications.

---

---

- 9) Our company does or has done business in the past under the following names, alternate translation of names, or acronyms:

---

---

Questions 10 and 11 are for end-users related to research/education. If not applicable, please mark "N/A."

- 10) We are an education or research related end-user. Our education or research will be used in the following applications:

---

---

11) The results of our education or research will be used in:

- Commercial applications (please describe or mark "N/A")

---

---

- Military or defense applications (please describe or mark "N/A")

---

---

12) We are receiving this material to do manufacturing only: (Check Yes or No)

Yes       No

13) This EPCI is being certified for the following companies/locations (Add complete name and address for each facility that is covered by this EPCI):

---

---

---

14) Our company is a (Check the description that best describes your organization):

**\*Note** - This question is not applicable for Canadian partners (select N/A).

- Direct Consumer ( D ) - A non-government institution, enterprise or company that will consume or use the exported good as a consumable, for its own internal processes, as an input to the production of another good or as machinery or equipment that is part of a manufacturing process or a provision of services and will not resell or distribute the good.
- Government Entity ( G ) - A government-owned or government-controlled agency, institution, enterprise or company.
- Reseller ( R ) - A non-government reseller, retailer, wholesaler, distributor, distribution center or trading company.
- Other/Unknown ( O ) - Other/Unknown is an entity that does not fall under one of the other three ultimate consignee types, or whose type is not known at the time of export.
- N/A

15) Company website (website with company information and location)

---

---

I attest that the preceding information is accurate, complete and truthful.

\_\_\_\_\_  
Name and Title (please type or print) \_\_\_\_\_ Date \_\_\_\_\_

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Company / Institution Name  
(No abbreviations or acronyms please. If name is not in English, please provide translation)  
\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
Complete Address (no P.O. Boxes)

Telephone number \_\_\_\_\_

Fax number \_\_\_\_\_

\*\*\*\*\*

***Internal Use Only for Covestro LLC:***

*Approved by:* \_\_\_\_\_

*Date:* \_\_\_\_\_

*ECO approval (if required)* \_\_\_\_\_

*Date:* \_\_\_\_\_

*Customer Numbers approved:* \_\_\_\_\_  
\_\_\_\_\_